



The power of connected learning for financial and legal professionals



An integrated suite of solutions from hire to retire

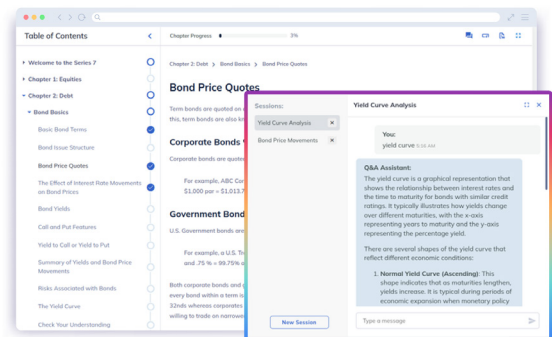
Every team faces critical moments that define their success: A top candidate fails the Series 7 exam for the second time. A compliance deadline looms with incomplete records. Your best advisor lacks the specialized training to serve a growing ultra-high-net-worth clientele.

These aren't isolated challenges. They're symptoms of a fragmented approach to professional development in an industry that demands continuous adaptation and growth.

As a learning leader, you're not just managing training programs — you're building the talent that powers your organization's future. You navigate complex regulations, tight budgets, and the pressure to develop a top team that can serve clients better than your competitors can.

CeriFi understands the challenges you face, and our mission is to alleviate them. Through our integrated ecosystem of learning solutions, we support professionals at every stage of their careers — from hire to retire. We're here to help you transform common pain points into opportunities for your team to thrive, delivering best-in-class education across six key sectors:

- ✓ **Securities & Insurance**
- ✓ **Tax & Accounting**
- ✓ **Financial Planning, including CFP® certification**
- ✓ **Advanced Designations, including CFA® and FRM®**
- ✓ **Financial Crime**
- ✓ **Legal**



The **top 10 financial institutions** in the industry trust us to develop their talent.

Launching confident, licensed talent from day one with CeriFi's proven solutions

The Challenge: Building a pipeline of qualified talent

A successful career begins with a strong foundation. But for many organizations, getting new hires licensed quickly, efficiently, and effectively is still a significant challenge. Every week that a new hire spends studying instead of producing costs your firm. Then when candidates fail licensing exams, it creates uncertainty for your entire talent pipeline. And new employees who lack quality training start client-facing roles without the skills needed to succeed.

The Solution: CeriFi's entry-level training support system

Our approach to entry-level training ensures that new professionals have the knowledge and confidence they need from day one. We've built a connected ecosystem that supports their entire journey from learning the fundamentals to earning their licenses — with education proven to deliver results.

- ✓ **University and Career Training:** Money Education creates the top financial planning textbooks used by university programs nationwide, ensuring graduates arrive with the knowledge they need.
- ✓ **Securities and Insurance Exam Prep:** Pass Perfect supports new professionals in passing their SIE, Series 6, 7, 63, 65, and several other entry-level licensing exams, plus insurance licensing exams.
- ✓ **Enrolled Agent Exam Prep:** Fast Forward Academy helps tax and accounting professionals take their careers to the next level.



“I didn’t come from a finance background and passed the SIE, Series 6, and 63 first try using CeriFi Pass Perfect. The program caters to different learning styles, and I wouldn’t have been as successful without all the many practice tests it provides.”

- REBECCA

Why it matters for CLOs & employers:

Ensuring new hires have the right licenses quickly is mission-critical. CeriFi's licensing solutions help firms onboard employees faster and increase first-time exam pass rates.

Why it matters for learners:

The difference between passing and failing an exam could mean landing a dream job — or losing out. CeriFi's exam prep programs guide learners to success with expert instruction, powerful technology, and unparalleled support.

Prepared for what's next: CeriFi's path to advancement



“After passing the exam I realized how CeriFi Dalton Education had not only prepared me for everything, but they over-prepared me. I have a deep understanding of financial planning, and I look forward to taking my career to the next level.”

- LINDSAY W.

Why it matters for CLOs & employers:

Investing in high-potential employees builds a stronger, more competitive workforce.

Why it matters for learners:

More credentials mean more career opportunities and earning potential.

The Challenge: Developing and retaining top performers

As professionals grow in their careers, keeping them engaged and helping them specialize is critical for organizational success. The gap between current skills and what's needed to compete in an ever-evolving industry can widen quickly. And without clear advancement paths, your best talent may seek growth opportunities elsewhere.

The Solution: CeriFi's advanced certification and specialization pathways

CeriFi's learning solutions provides clear pathways for professional growth that benefit both the individual and the organization. By offering specialized training and certification preparation, we help your top performers advance their careers while bringing greater expertise to your customers.

- ✓ **Supervisory Qualifications in Securities:** Pass Perfect prepares future leaders for FINRA principal exams like the Series 9, 10, and 24 as they move into management roles.
- ✓ **Advanced Wealth Planning:** For those looking to deepen their knowledge in estate planning, retirement strategies, and investment management, Dalton Education's CFP® certification education and exam prep programs are consistently top-rated.
- ✓ **Financial Crimes & Compliance:** With a full-spectrum approach, ACFCS offers specialized training and certifications to empower professionals to detect and prevent financial crime.
- ✓ **Financial Risk & CFA® Specialization:** Earning a CFA® or FRM® designation opens doors to hedge funds, asset management, and executive-level positions.

Empower performance at the top: Expert learning that drives business results

The Challenge: Maintaining compliance while controlling costs

Keeping your team compliant and up to date presents ongoing challenges: The heavy administrative burden of managing CE requirements across multiple licenses and designations. Professionals delaying their CE completion, creating risk for them and your organization. And managing relationships with multiple vendors — which drives up costs and inefficiency — without providing a unified view of your team's compliance status.

The Solution: CeriFi's integrated continuing education platform

CeriFi evolves compliance training from a burden to a strategic advantage. By consolidating continuing education across several sectors, we're your single, supportive partner for managing all your team's ongoing learning needs.

- ✓ **Insurance and Securities Continuing Education:** Pass Perfect helps professionals maintain their licenses and stay current with industry requirements.
- ✓ **Financial Planning CE:** Dalton Education offers CFP Board Ethics CE and the Certified Military Financial Advisor (CMFA®) program, which provides 22 CFP CE credits.
- ✓ **Tax & Accounting:** Tax professionals rely on Spidell for deep insights into state and federal tax updates, while CeriFi CPEdge provides CPE (Continuing Professional Education) for accountants and CPAs.
- ✓ **Legal & Regulatory:** CeriFi LegalEdge provides critical compliance training, ensuring professionals stay ahead of evolving regulations.



“CeriFi CPEdge has helped us become more organized while saving time by keeping all CPE history in one location. By far, the best service I've experienced with any vendor.”

- TAMARA CARR-GRANSTROM

Why it matters for CLOs & employers:

Keeping employees compliant avoids costly penalties and reputational risks. CeriFi provides a seamless solution for meeting CE and regulatory requirements.











Why it matters for learners:

No one wants to scramble for last-minute CE credits. CeriFi's on-demand learning ensures busy professionals can fit education into their schedules.

The CeriFi Advantage

At CeriFi, innovation drives our pursuit of excellence. We bring together the industry's best content, expert instructors, and cutting-edge technology to deliver an unmatched learning experience. Our foundation is built on robust brands and carefully curated areas of focus, making us the trusted partner for financial and legal education.

Unlock Potential at Every Level with CeriFi's Full Solution Suite

Securities and Insurance	Financial Planning	Tax & Accounting	Advanced Designations	Legal
 CERiFi/ Pass Perfect	 CERiFi/ Dalton Education  CERiFi/ Money Education	 CERiFi/ Spidell  CERiFi/ CPEdge  CERiFi/ Fast Forward Academy	 CERiFi/ Bionic Turtle  CERiFi/ Meldrum  CERiFi/ Association of Certified Financial Crime Specialists	 CERiFi/ LegalEdge



Comprehensive solutions, one provider

- ✓ A single relationship for all your learning needs
- ✓ Integrated ecosystem of top education brands
- ✓ Customized plans for your organization
- ✓ Streamlined learning management and reporting
- ✓ Easily scalable for organizations of all sizes



Best-in-class content & instructors

- ✓ Regulatory expertise & compliance assurance
- ✓ Industry-leading instructors who know the material
- ✓ Up-to-date content that reflects the latest industry changes
- ✓ Proven learning methodologies
- ✓ Deep subject matter expertise



Innovative technology for admins and learners

- ✓ AI-powered adaptive learning technology
- ✓ Study tools for different learning styles
- ✓ Robust administrative tools
- ✓ Real-time progress tracking
- ✓ Simplified purchasing and program management



World-class, custom support when you need it

- ✓ Dedicated CeriFi relationship team
- ✓ Live support by phone, email, or chat
- ✓ Personalized learning plans and coaching
- ✓ Help with troubleshooting and questions



Whether you're an organization developing talent or an individual advancing your career, CeriFi's integrated suite of solutions ensures success — every step of the way. Join over a million professionals who trust CeriFi for their learning needs.



Join the
1M+
professionals
we've trained.



Ready to get started? We're here to help.

Connect with our team and discover how we can help you reach your goals.

Visit CeriFi.com/Contact-Us